

Memorandum

DATE: April 23, 2026

TO: Peter Hanink
Chair, Academic Senate

FROM: Iris Levine
Interim President

Dr. Iris S. Levine,
Interim President,
Cal Poly Pomona

Digitally signed by Dr. Iris S.
Levine, Interim President, Cal
Poly Pomona
Date: 2026.04.24 10:49:47 -07'00'

SUBJECT: Response to Senate Report AS-3163-245-FA: Update Policy 1207 Misconduct Research

Received in
Academic Senate
April 24, 2026

The Academic Senate and the Faculty Affairs Committee recommend making all changes suggested in the referral. The summary of the changes is as follows, where all additions are underlined.

- At the end of section 1 (Introduction), add the sentence
 - “This policy is intended to satisfy the institutional responsibilities required under the Public Health Service Policies on Research Misconduct, 42 CFR Part 93, as amended.”
- In paragraph 3.1 (General Provisions), amend the first sentence from the original:
 - “Cal Poly Pomona shall make a good faith effort to protect the privacy of all individuals involved in research misconduct proceedings.”to a revised version by adding a final phrase
 - “Cal Poly Pomona shall make a good faith effort to protect the privacy of all individuals involved in research misconduct proceedings, consistent with the requirements of 42 CFR Part 93, as amended.”
- Adding a paragraph at the end of 3.1:
 - “For purposes of this policy sequestration means the immediate securing of all research records and evidence needed to conduct a research misconduct proceeding. Where original records cannot be obtained, copies that are substantially equivalent to the originals may be used.”
- Under 4.1, the responsibilities of Cal Poly Pomona, adding item f:
 - “Making this policy publicly available and taking reasonable steps to inform members of the research community of its contents.”
- Adding a final paragraph:
 - “This policy, as revised, applies to allegations of research misconduct received on or after January 1, 2026, consistent with the applicability provisions of the Public Health Service Policies on Research Misconduct (42 CFR Part 93), as amended.”

I thank the Faculty Affairs Committee and the Academic Senate for their recommendation. I support Provost Gomez, the Academic Senate, and the Faculty Affairs Committee to approve the update to Policy 1207 Misconduct in Research and request that this report be officially recorded.

Copy: Keith Forward
S. Terri Gomez
Cheryl Koos
Nicole Hawkes
Casandra Horner
Craig LaMunyon
John McGuthry
Val Otto
Francine Ramirez
Diane Gonzalez
Aaron DeRosa
Jocelyn Chong