

California State Polytechnic University, Pomona

Academic Senate Report

AS-3163-256-FA

Update Policy 1207 Misconduct in Research

Academic Senate Action:

Adopted:

March 18, 2026

Final Disposition:

Transmitted to President:

March 20, 2026

RECOMMENDATION:

The Academic Senate recommends making all changes suggested in the FA-003-256. The summary of the changes is as follows, where all additions are underlined.

- At the end of section 1 (Introduction), add the sentence
 - “This policy is intended to satisfy the institutional responsibilities required under the Public Health Service Policies on Research Misconduct, 42 CFR Part 93, as amended.”
- In paragraph 3.1 (General Provisions), amend the first sentence from the original:
 - “Cal Poly Pomona shall make a good faith effort to protect the privacy of all individuals involved in research misconduct proceedings.”to a revised version by adding a final phrase
 - “Cal Poly Pomona shall make a good faith effort to protect the privacy of all individuals involved in research misconduct proceedings, consistent with the requirements of 42 CFR Part 93, as amended.”
- Adding a paragraph at the end of 3.1:
 - “For purposes of this policy sequestration means the immediate securing of all research records and evidence needed to conduct a research misconduct proceeding. Where original records cannot be obtained, copies that are substantially equivalent to the originals may be used.”
- Under 4.1, the responsibilities of Cal Poly Pomona, adding item f:
 - “Making this policy publicly available and taking reasonable steps to inform members of the research community of its contents.”
- Adding a final paragraph:
 - “This policy, as revised, applies to allegations of research misconduct received on or after January 1, 2026, consistent with the applicability provisions of the Public Health Service Policies on Research Misconduct (42 CFR Part 93), as amended.”